Do Developing Countries Really Need Biotechnology?



Peggy G. Lemaux University of California, Berkeley http://ucbiotech.org; http://pmb.berkeley.edu/~lemaux





What if I told you, I would give you \$30 for lunch, would you take it?

But...



Actually it has to pay for a month of lunches...

And dinners and everything else you need to live – food, shelter, transportation, clothing!!



Would it make you think twice?

Maybe it would make you think differently about growing your own food?





Maybe it would make you think differently about the fact that the average American spends <10% of their income on food?

Maybe it would make you think about the variety and quantity of food you eat – and how far it has to travel to get to you?



Now consider this...



♦ One billion of the world's poorest people live on ≤ \$1 per day.

* 820 million people go to bed hungry each day

* Malnutrition leads to stunted physical/ mental development, increased disease suceptibility

 Majority of small farmers are women, often with the fewest resources

* No country has risen rapidly from poverty without increasing agricultural productivity





Global Development Program, Gates Foundation: http://www.gatesfoundation.org

One reason farming in developing countries is not adequate: crop yields are lower than in developed countries

	YIELD (kilograms per hectare)					
CROP	Kenya	Ethiopia	India	Developed		
				World		
Maize	1,640	2,006	1,907	8,340	5X	
Sorghum	1,230	1,455	797	3,910	5X	
Rice	3,930	1,872	3,284	6,810	~3X	
Wheat	2,310	1,469	2,601	3.110	2X	
Chickpea	314	1,026	814	7,980	25X	

WHY?

Many reasons...among them is that varieties giving higher yields are not usually optimized for their conditions.



Can agricultural biotechnology help?

It's more than just GMOs!



Marker-assisted breeding led to new millet hybrid with powdery mildew resistance



PCR for pest disease detection for bananas and papaya





Tissue culture propagation of commercial banana gets rid of viral disease. Femalemanaged companies in the Philippines give women a different role in agriculture that provides income





United Nations Development Programme



"Complex problems of hunger and agricultural development will not be solved by technological silver bullets" Peter Rosset, Food First

Chapter 4: Unleashing human creativity:national strategies (265KB)

PDF files:

But can genetically engineered crops play a role?

Addendum: Human Development Index for 12 countries not included in main indicator tables (11KB)

Errata

Complete publication in one big file (3 3MB).

Human Development Inde:

New technologies key to reducing world poverty



- Are GE crops being grown in developing countries?
- What farmers are growing GE crops in developing countries?
 - What kinds of GE crops are they growing?
 - *****Why are they growing them?
 - *****What kinds of problems do they raise?
 - Are they a magic bullet for food security?







Genetic engineering projects for developing countries: three examples



Private sector: Development of Bt maize

Public sector: Development of Golden Rice

Public-Private sector partnership: Development of SuperSorghum





Private sector: Development of Bt maize

Is it needed?



"Maize is our staple food, and we have not identified any other source of income from plants to sell, so we continue planting maize."



Her toughest challenges:
✓ Affordable transportation to get produce to market
✓ Safe storage from pests
✓ Regular supply of water. (fuel prices make running a pump too expensive)

Mrs. Bernadette Mwikali Kioko, Farmer, Ukambani, Kenya



http://www.agra-alliance.org/section/people/profiles#kioko

What questions are being asked about these crops?

Are GE crops being grown in developing countries?

Do only large agrochemical companies benefit from crops currently being grown?

Will GE crops address small farmers' needs?





Are GE crops grown in developing countries?

Industrial and Developing Countries (M Has, M Acres) **M** Acres 346 140 296 120 ---- Total 247 100 - Industrial ----- Developing 198 80 148 60 99 40 49 20 0 1996 1997 1998 1999 2000 2001 2002 2003 2004 2005 2006 2007 2008 Source: Clive James, 2009

Global Area of Biotech Crops, 1996 to 2008:

482,812 square miles worldwide in 2008 (equal to combined areas of CA, TX and NY) in 25 developed and developing countries

Most acreage is in the U.S. on large acreage farms. But >10 of the 12 million adopters are in developing countries

25 industrial and developing countries in order of acreage:

United States, Argentina, Brazil, Canada, India, China, Paraguay, South Africa, Uruguay, Bolivia, Philippines, Australia, Mexico, Spain, Chile, Colombia, Honduras, Burkina Faso, Czech Republic, Romania, Portugal, Germany, Poland, Slovakia, Egypt.



Will only large agrochemical companies benefit?

"Economic evidence does not support that only multinational firms are capturing the economic value created by transgenic crops (in developing countries). Benefits are shared by consumers, technology suppliers, adopting farmers."

(Anderson K and Jackson L 2005. J African Economies 14: 385-410)





Will GE crops address small farmers' needs?

"Economic evidence does not support misconception that transgenic crops only benefit large farms; evidence indicates technology might actually be 'pro-poor."

(Ruttan VW 2004. Intl J Biotechnol 643-54)



What does "pro-poor" mean?

How can this technology be pro-poor?

Productivity: Evidence for Bt Cotton Gains



Bt cotton in:

- United States yield increase 0 15%
- China yield increase 10%
 - South Africa yield increase 20%-40%

yield increase 60 – 80 %

Reason for difference: Small-scale farmers suffer bigger pestrelated yield losses due to technical and economic constraints

> SOURCE: Qaim M and Zilberman D. 2003. Yield effects of genetically modified crops in developing countries. Science 299:900-902

But Qaim study criticized for taking data from cotton seed provider, Mahyco

Another study, using data collected by researchers on field trials of 9000 farming families in India, found a 45-63% higher yield with Bt vs nonBt crops.

Ref: Bennett *et al.*, 2006, "Farm-level Economic Performance of GM Cotton in Maharashtra India" *Rev Agric Econ* 28: 59-71

Other Indian studies showed increased yields and revenues with Bt versus non-Bt cotton, but not in some subregions. Refs: Morse S et al. 2005. Genetically modified insect resistance in cotton: some farm level economic impacts in India. *Crop Prot.* 24:433; Bennett R et al. 2006. Farm-level economicperformance of GM cotton in Maharashtra India. *Rev. Agric. Econ.* 28:59--71

A nonprofit organization, promoting sustainable agriculture and self-reliant economic growth for rural communities, found lower yields, more expense and more pesticides for Bt farmers from one Indian province.

Not published; on the organization's website: http://www.i-sis.org.uk/IBTCF.php





Zimbabwe and Zambia stand united on GMOs



THE HERALD (Harare) Wisdom Mdzungairi October 11, 2005

International scientists, including those from the United States, have praised Zimbabwe and Zambia for rejecting genetically-modified food

Some African countries have taken strong stands against GE crops, causing them to reject certain U.S. food aid.

safety Council of Zambia, said "Extreme views

This raises questions as to how and why such decisions are made.

groups."





South Africa: How the West's Health Fads Kill the Poor

"The fashionable and romantic campaign against genetically modified (GM) foods is another fad in the we<u>st that is fatal in</u>

the south: Zambia rejected food aid from the US in 2002, right in the middle of a famine, because it contained GM maize -- the same maize Americans and Canadians had been eating for a decade.



Most poor countries ban GM crops, especially for food, saying it might conceivably be harmful. An <u>approach based on the 'pre-</u> <u>cautionary principle'</u>. Even if no harm has been demonstrated, assume it is harmful if you cannot prove that it cannot harm you in any way. This principle is enshrined in much new United Nations and European Community law."





Shouldn't African farmers and consumers make their own decisions on these issues?





How can this be done effectively?

IRMA started with participatory rural appraisals, involving 900 Kenyan farmers from 43 villages to determine if Bt corn would work on their small-scale farms



Yield indications for first research season	Site	Variety	Mean yield (kg/kg)	n	Yield difference (kg/kg)	e <i>t</i> -value	% yield difference
for different survey	Avg. all fai	rmers					
areas.		Own seed	63				\frown
	Г	CRN seed	187	175	59	8.679	(32%*)
	L	Bt seed	246				
	Individual	Sites:					
	Northern Highveld						
		Own seed	32				
		CRN seed	90	33	56	4.490	62%*
		Bt seed	146				
	Southern Highveld						
		Own seed	162				
		CRN seed	278	57	57	4.332	21%*
		Bt seed	335				
	Hlabisa	Own seed	78				
	-			4 •	C -		

Following introduction, figures show small-scale farmers are getting increased yields and better quality with Bt maize.

Bt seed

127

*Yield difference statistically significant at a 95% level.

Gouse et al., Three Seasons of Subsistence Insect-Resistant Maize in South Africa: Have Smallholders Benefited? *AgBioForum 9(1)-2*



Bt maize

NO MAGIC BULLET







Certainly this is not the only way to address problems for African farmers?

Problems are varied

Different food preferences Different ecology

Different health issues

Different agronomic limitations







Public sector: Development of Golden Rice



Rice: Critical Part of Many Diets 2004 (FAOSTAT)*

FAO Minimum Dietary Energy Requirement = 1800 - 2000

(weighted average; kcal/person/day)



Rice Diet and Micronutrient Nutrition



From: "Nutrition: A Cornerstone for Human Health and Productivity", Richard J. Deckelbaum.Modified from G. Barry, IRRISeminar, Earth Institute of Columbia University, April 14, 2005



vegetables and meat for needed nutrients



Modified from G. Barry, IRRI

: "Nutrition: A Cornerstone for Human Health and Productivity", Richard J. Deckelbaum. Seminar at The Earth Institute of Columbia University, April 14, 2005

The FACTs in the Philippines are...

- 2 of 3 infants (6mos.-1yr) have iron-deficiency anemia
- 1 of 3 Filipinos are at risk of <u>low</u> <u>zinc</u> intake
- 4 of 10 children are <u>vitamin A</u> <u>deficient</u>
- Numbers are increasing since 1990s
 - Micronutrient malnutrition is a serious public health problem





Biofortification can complement current interventions, all of which are needed.



IRRI has made progress on iron and zinc biofortified rice...



E. Boncodin, Fedl Budget Secy Manila Philippines

Vitamin A deficiency (VAD) is severe in certain countries – causing vision loss, immune system failure, poor brain development





Types of Golden Rice

GR1 and GR2 developed by Syngenta, donated to GR Humanitarian Board for use in developing countries by GR Network



NO MAGIC BULLET



1.2 – 1.8 up to 8.0 up to 36.7 Provitamin A Carotenoid levels (ug/g)

GR2 has 23-fold increase; normal portion provides half of a child's Vitamin A needs

Golden Rice is now a breeding project

Transferring Golden Rice traits into popular rice varieties at IRRI



IR64 & IR36: Mega-varieties with broad Asian coverage (GR1 & GR2)

BR29: The most popular and productive *boro* rice variety in **Bangladesh** (GR1 & GR2)

An IRRI-bred line released as PSB Rc82: the most popular rice variety in the **Philippines** (GR2)

Only one event will ever be released/go through full regulatory approval; **2011 first release**

Parallel introgression breeding being done by Golden Rice Network partners in India, Vietnam, and the Philippines

Transplanting at IRRI April 2, 2008

First Outdoor Trial of Golden Rice in Asia

IR64 GR1 event 309; 20 lines

May 30, 2008

E. Boncodin, Fedl Budget Secy Manila Philippines

April 10, 2008

An estimate of the cost effectiveness for GR and VAD relief - India

Table 4. The annual burden of VAD in India and the cost-effectiveness of GR

- ·		T	TT: 1 ·				
Scenario	Low impact	High impact					
Current burden of VAD							
Number of DALYs lost each year (thousands) (DALY = Disability Adjusted Life Years) 2,328							
2 million disability years lost in India to Vitamin A deficiency							
71.000 lives lost each	n vear in India to VAD						
0.2-1.3 million disability years could be saved with Golden Rice							
5,000- 40,000 lives could be saved each year with Golden Rice							
And it is cheaper tha	n supplementation by	2- to 6-fo	ld				
World Bank cost-effectiveness stand	ard for DALYs saved (U.S.\$)	2	200				
WHO standard for valuing DALYs (U.S.\$)		620	-1,860				
Cost per DALY saved through supplementation (U.S.\$)		134	4-599				
Cost per DALY saved through industrial fortification (U.S.\$)		84	4-98				
Modified from G Barry IRRI Stein <i>et al.</i> 2007 World Development – in pre							

HailOnline

British scientists condemn using children in GM food trials as unacceptable

By Sean Poulter

Last updated at 12:46 PM on 17th February 2009

Children have been used as 'lab rats' in GM rice trials that were carried out in breach of ethics rules drawn up in response to the medical crimes of Nazi Germany, it is claimed.

Youngsters aged 6-10 were fed so-called Golden Rice, which has been modified to contain enhanced levels of beta carotene or vitamin A.

The rice is being developed to combat Vitamin A deficiency, which is linked to damage to the sight, poor brain development and immune system failure.

However high consumption can also have harmful toxic effects and cause birth defects.

Critics are furious that the GM rice was not put through animal feeding trials to ensure it was safe before being given to children



February 17, 2009

22 scientists signed letter denouncing feeding of Golden Rice to children in the U.S. and China, conducted under NIH and Chinese government guidelines. Claimed it violated Nuremberg code – research ethics principles focused on inhumane Nazi human experimentation during World War II.

These scientists claimed humans were being used as guinea pigs, saying there is a large body of evidence showing GM food production can trigger gene mutations which 'can result in health damaging effects when GM food products are fed to animals'.

Do ethics also speak to fact that 1 to 2 million people die from VAD each year?



Public-Private sector partnership: Development of SuperSorghum







Homes in rural communities are not spacious, but at least there are small spaces to grow food Just outside cities – often juxtaposed next to modern suburbs –as far as the eye could see were tiny huts crammed together with no place to raise crops.



The poverty was staggering – with whole families living in a place the size of our walk-in closets



Men walked up to the highway in the morning and waited by the road to get work...if they didn't get work, they and their families didn't eat



Why Is Sorghum the Target?

- Fifth most important food grain
- 90% grown in Africa and Asia in arid and semi-arid regions
- Staple food for 300 million in Africa
- In Africa, 74% of sorghum is consumed at home
- Most as cooked porridge

Cultivated sorghum

Wild outcrossing species





Sorghum – a staple food for 300 million of the world's poorest – many in parts of Africa





During prolonged drought in South Africa, sorghum thrived while maize struggled!

Maize



Sorghum



Potchestrom, South Africa Feb. 17, 2007



But sorghum is nutritionally deficient in: Amino acids Vitamins Minerals And is

Poorly Digested







Why did I become involved in improving sorghum?

Part of my mandate as public sector scientist and CE specialist

The magnitude of the problem begs for solutions. This was something I wanted to do, but...

How did I become involved?



🔿 🗸 🙆 🚮 🛛 🐼 Search 👔 Favorites 🛞 Media 🧭 🛃 🚽 🎒 📰 🗐 😂 🥮 🧇 🎘 📿 🖬

ess 🙆 http://www.gcgh.org/ardisplay.aspx?SecID=301&ID=163



About the Grand Challenges Research to Serve Global Health Learn More Ψ

In 2003 the Grand Challenges initiative was launched by the Gates Foundation to apply innovation in science and technology to the greatest health problems of the developing world.

none: +1.206.709.3400 / Email: <u>media@datestorndation.ord</u>

Grand Challenges Projects

14 Grand Challenges identified from more than 1000 suggestions from scientists and health experts around the world.

Grand Challenges in Global Health Initiative Selects 43 Groundbreaking Research

Topics include:

Improved childhood vaccines

Studying immune system to guide development of new vaccines

Preventing insects from transmitting diseases

Preventing drug resistance

Treating latent and chronic infections

Diagnosing and tracking diseases in poor countries AND...

National Institutes of Health

National Institutes of Health (FNIH), the Gates Foundation, the Wellcome Trust, and CIHR. Additional proposed Grand Challenges projects are under review and may Eavorites Help

Search 👔 Favorites 🛞 Media 🎯 🖏 - 🎒 🕅 - 📄 📿 🗐 🧇 🎘 📿 🖃

Address Address http://www.seedquest.com/News/releases/2006/april/15466.htm

SeedQuest[®] News section

home | news | solutions | forum | careers | calendar | yellow pages | advertise | contacts

University of California, Berkeley joins Africa Biofortified Sorghum (ABS) project

Berkeley, California April 10, 2006

Back

Researchers at the University of California, Berkeley, are joining an ambitious project to improve nutrition for 300 million people in Africa who rely on sorghum as a principal source of food.

The Africa Biofortified Sorghum (ABS) project is funded by a \$17,6 million grant from the Grand Challenges in Global Health initiative to Africa Harvest Biotechnology Foundation International, a non-profit organization dedicated to fighting hunger and poverty in Africa.

"Our goal is to develop sorghum that will provide increased calories and needed protein in the diet of African consumers," said Bob B, Buchanan, UC Berkeley professor of plant and microbial biology and one of the lead scientists on the project. "We are extremely happy to offer our expertise and materials for this important project for the public aood."

The announcement of UC Berkeley's participation was made from Nairobi, Kenya, today (Monday, April 10) by project leader Florence Wambugu, "All the project consortium members are delighted that researchers from UC Berkeley will be joining the team," said Wambugu, who is a plant pathologist and CEO of Africa Harvest, "Their contribution will provide a second avenue to ensure success in achieving the important goal of increasing digestibility of sorghum."

The Grand Challenges in Global Health initiative is supporting nutritional improvement of four staple crops - sorghum, cassava, bananas and rice - as one of its 14 "grand challenges" projects that focus on using science and technology to dramatically



Peggy G. Lemaux, UC Berkeley Cooperative Extension specialist in plant and microbial biology, and Bob Buchanan, professor of plant and microbial biology, inspect sorghum plants in a controlled temperature growth room. (Rosemary Alonso photo)

Grand Challenge #9: Growing more nutritious staple crops to combat malnutrition

Focuses on 4 crops:

banana, cassava, rice and SORGHUM

improve health in the world's poorest countries. The initiative is funded by the Bill & Melinda Gates Foundation, the Wellcome Trust, and the Canadian Institutes of Health Research.

In June 2005, the initiative awarded \$16.94 million to Africa Harvest to head a consortium of public and private research institutes for the ABS project. The Gates Foundation has just supplemented this amount with \$627,932

Addressing the nutritional challenge

Goal of Super Sorghum Project

Develop more nutritious, easily digestible sorghum, containing higher levels of pro-vitamin A, vitamin E, iron, zinc, and deficient amino acids, lysine, tryptophan and threonine, for the arid and semi-arid

tropical areas of Africa



Focus of ABS Project: Food Quality

Aims

Increase levels of Vitamin A and E
Increase iron and zinc availability
Improve protein quality
Improve digestibility upon cooking

Super Sorghum nutritional targets are beyond the reach of plant breeding approaches



Earlier breeding efforts to improve some target traits unsuccessful
 GE strategy needed to improve multiple target traits simultaneously
 All genes from crop sources, except one from common microbe
 All approaches validated in corn and other cereals





The Super Sorghum Team in Nairobi Kenya

<u>{</u>]

Consortium Members and Roles

- Africa Harvest Project coordination & management
- Pioneer Hi-Bred Sorghum biotechnology
- University of California, Berkeley Sorghum biochemistry and technology
- CSIR Pretoria Sorghum biotechnology
- ICRISAT/CGIAR Germplasm, delivery to target countries
- ARC Plant breeding, field testing
- University of Pretoria Nutritional evaluation
- AATF IP rights brokering, licensing
- FARA Link to distribution networks



Vitamin A Deficiency: Severe Health Problem in Africa



 Sorghum grain - very low levels of Vit A and E

- Vit A critical for eyesight Vit E protects Vit A.
- Increase production by improving rate-limiting steps in biosynthesis

Vit. A

Improving Iron and Zinc Availability by Reducing Phytic Acid in Grain





- Phytic acid in the seed binds iron and zinc
- Reduce phytic acid by blocking production
- Lower phytic acid frees iron & zinc to be taken up from food



Improving Protein Quality UCB involvement

• Improve Protein Quality

- Introduce new protein with increased Lys, Trp, Met, Thr
- Decrease proteins with poor quality

• Improve Protein Digestibility

- Decrease proteins negatively affecting digestibility
- Alter digestibility of protein



Modifying Amino Acid Content

Barley α -hordothionin with 12 lysines increased lysine by $\sim 50\%$

Another modified barley 67aa protein with 17% Thr, 15% Lys, 6% sulfur aa; 9.5% Trp resulted in ≥100% of target levels of Lys, Met, Thr, Trp in maize



ucbiotech.org

Improving Digestibility

Starch granules embedded in protein matrix





Is it just about technology?





Specific Project Objectives

- Technology transfer from US to Africa
- Human and infrastructure capacity building
- Public/private networking in GM technology by African and US institutions
- Biosafety policy development
- Public acceptance of GM technology increased in Africa









San Francisco Chronicle (modified)



Genetically Engineered Plants and Foods: A Scientist's Analysis of the Issues (Part I)

Peggy G. Lemaux

Department of Plant and Microbial Biology, University of California, Berkeley, California 94720; email: lemauxpg@nature.berkeley.edu

Key Words

Annu. Rev. Plant Biol. 2008. 59:771-812 First published online as a Review in Advance on February 19, 2008

The Annual Review of Plant Biology is online at plant.annualreviews.org

10.1146/annurev.arplant.58.032806.103840

Copyright © 2008 by Annual Reviews. All rights reserved 1543-5008/08/0602-0771520.00

benefits, biotechnology, crops, food safety, genetic engineering

risks

Through the use of the new tools of genetic engineering, gen be introduced into the same plant or animal species or into p animals that are not sexually compatible—the latter is a diwith classical breeding. This technology has led to the coproduction of genetically engineered (GE) crops on appr 250 million acres worldwide. These crops generally are and pest tolerant, but other GE crops in the pipeline for traits. For some farmers and consumers, planting and from these crops are acceptable; for others they raise iss en of the foods and the environment. In Part I of thi

Annu. Rev. Plant Biol. 2009, 60:511-59 The Annual Review of Plant Biology is online at plant.annualreviews.org This article's doi:

10.1146/annurev.arplant.043008.092013 Copyright © 2009 by Annual Reviews. All rights reserved

1543-5008/09/0602-0511\$20.00

Genetically Engineered Plants and Foods: A Scientist's Analysis of the Issues (Part II)

Peggy G. Lemaux

Department of Plant and Microbial Biology, University of California, Berkeley, California 94720; email: lemauxpg@nature.berkeley.edu

Key Words

benefits, biotechnology, crops, economics, environment, risks

Genetic engineering provides a means to introduce genes into plants via mechanisms that are different in some respects from classical breeding. A number of commercialized, genetically engineered (GE) varieties, most notably canola, cotton, maize and soybean, were created using this technology, and at present the traits introduced are herbicide and/or pest tolerance. In 2007 these GE crops were planted in developed and developing countries on more than 280 million acres (113 million hectares) worldwide, representing nearly 10% of rainfed cropland. Although the United States leads the world in acres planted with GE crops, the majority of this planting is on large acreage farms. In developing countries, adopters are mostly small and resource-poor farmers. For farmers and many consumers worldwide, planting and earing GE crops and products made from the

For more information Lemaux, P.G. Annual Review of Plant Biology 2008 & 2009

511